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# **Quantum field theory**

In theoretical physics, **quantum field theory** (**QFT**) is a theoretical framework that combines <u>classical field theory</u>, <u>special relativity</u>, and <u>quantum mechanics</u><sup>[1]:xi</sup> and is used to construct physical models of subatomic particles (in particle physics) and quasiparticles (in condensed matter physics).

QFT treats particles as <u>excited states</u> (also called <u>quanta</u>) of their underlying <u>fields</u>, which are—in a sense—more fundamental than the basic particles. Interactions between particles are described by interaction terms in the <u>Lagrangian</u> involving their corresponding fields. Each interaction can be visually represented by <u>Feynman</u> diagrams, which are formal computational tools, in the process of relativistic perturbation theory.

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## History

As a successful theoretical framework today, quantum field theory emerged from the work of generations of theoretical physicists spanning much of the 20th century. Its development began in the 1920s with the description of interactions between <u>light</u> and <u>electrons</u>, culminating in the first quantum field theory — <u>quantum</u> <u>electrodynamics</u>. A major theoretical obstacle soon followed with the appearance and persistence of various infinities in perturbative calculations, a problem only resolved in the 1950s with the invention of the <u>renormalization</u> procedure. A second major barrier came with QFT's apparent inability to describe the <u>weak</u> and <u>strong</u> <u>interactions</u>, to the point where some theorists called for the abandonment of the field theoretic approach. The development of <u>gauge theory</u> and the completion of the Standard Model in the 1970s led to a renaissance of quantum field theory.

### Theoretical background

Quantum field theory is the result of the combination of <u>classical field theory</u>, <u>quantum mechanics</u>, and <u>special relativity</u>.<sup>[1]:xi</sup> A brief overview of these theoretical precursors is in order.

The earliest successful classical field theory is one that emerged from <u>Newton's law of universal gravitation</u>, despite the complete absence of the concept of fields from his 1687 treatise <u>Philosophiæ Naturalis Principia Mathematica</u>. The force of gravity as described by Newton is an "action at a distance" — its effects on faraway objects are instantaneous, no matter the distance. In an exchange of letters with <u>Richard Bentley</u>, however, Newton stated that "it is inconceivable that inanimate brute matter should, without the mediation of something else which is not material, operate upon and affect other matter without mutual contact."<sup>[2]:4</sup> It was not until the 18th century that mathematical physicists discovered a convenient description of gravity based on fields — a numerical quantity (a <u>vector (mathematics and physics</u>)) assigned to every point in space indicating the action of gravity on any particle at that point. However, this was considered merely a mathematical trick.<sup>[3]:18</sup>

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Fields began to take on an existence of their own with the development of <u>electromagnetism</u> in the 19th century. <u>Michael</u> <u>Faraday</u> coined the English term "field" in 1845. He introduced fields as properties of space (even when it is devoid of matter) having physical effects. He argued against "action at a distance", and proposed that interactions between objects occur via space-filling "lines of force". This description of fields remains to this day.<sup>[2][4]:301[5]:2</sup>

The theory of <u>classical electromagnetism</u> was completed in 1862 with <u>Maxwell's equations</u>, which described the relationship between the <u>electric field</u>, the <u>magnetic field</u>, <u>electric current</u>, and <u>electric charge</u>. Maxwell's equations implied the existence of <u>electromagnetic waves</u>, a phenomenon whereby electric and magnetic fields propagate from one spatial point to another at a finite speed, which turns out to be the speed of light. Action-at-a-distance was thus conclusively refuted.<sup>[2]:19</sup>

Despite the enormous success of classical electromagnetism, it was unable to account for the discrete lines in <u>atomic spectra</u>, nor for the distribution of <u>blackbody radiation</u> in different wavelengths.<sup>[6]</sup> <u>Max Planck</u>'s study of blackbody radiation marked the beginning of quantum mechanics. He treated atoms, which absorb and emit electromagnetic radiation, as tiny <u>oscillators</u> with the crucial property that their energies can only take on a series of discrete, rather than continuous, values. These are known as <u>quantum harmonic oscillators</u>. This process of restricting energies to discrete values is called quantization.<sup>[7]:Ch.2</sup> Building on this idea, <u>Albert Einstein</u> proposed in 1905 an explanation for the <u>photoelectric effect</u>, that light is composed of



using iron filings. When a piece of paper is sprinkled with iron filings and placed above a bar magnet, the filings align according to the direction of the magnetic field, forming arcs.

individual packets of energy called <u>photons</u> (the quanta of light). This implied that the electromagnetic radiation, while being waves in the classical electromagnetic field, also exists in the form of particles.<sup>[6]</sup>

In 1913, <u>Niels Bohr</u> introduced the <u>Bohr model</u> of atomic structure, wherein <u>electrons</u> within atoms can only take on a series of discrete, rather than continuous, energies. This is another example of quantization. The Bohr model successfully explained the discrete nature of atomic spectral lines. In 1924, <u>Louis de Broglie</u> proposed the hypothesis of <u>wave-particle duality</u>, that microscopic particles exhibit both wave-like and particle-like properties under different circumstances.<sup>[6]</sup> Uniting these scattered ideas, a coherent discipline, <u>quantum mechanics</u>, was formulated between 1925 and 1926, with important contributions from de Broglie, Werner Heisenberg, Max Born, Erwin Schrödinger, Paul Dirac, and Wolfgang Pauli.<sup>[3]:22-23</sup>

In the same year as his paper on the photoelectric effect, Einstein published his theory of <u>special relativity</u>, built on Maxwell's electromagnetism. New rules, called <u>Lorentz transformation</u>, were given for the way time and space coordinates of an event change under changes in the observer's velocity, and the distinction between time and space was blurred.<sup>[3]:19</sup> It was proposed that all physical laws must be the same for observers at different velocities, *i.e.* that physical laws be invariant under Lorentz transformations.

Two difficulties remained. Observationally, the <u>Schrödinger equation</u> underlying quantum mechanics could explain the <u>stimulated emission</u> of radiation from atoms, where an electron emits a new photon under the action of an external electromagnetic field, but it was unable to explain <u>spontaneous emission</u>, where an electron spontaneously decreases in energy and emits a photon even without the action of an external electromagnetic field. Theoretically, the Schrödinger equation could not describe photons and was inconsistent with the principles of special relativity — it treats time as an ordinary number while promoting spatial coordinates to <u>linear</u> operators.<sup>[6]</sup>

#### Quantum electrodynamics

Quantum field theory naturally began with the study of electromagnetic interactions, as the electromagnetic field was the only known classical field as of the 1920s.<sup>[8]:1</sup>

Through the works of Born, Heisenberg, and <u>Pascual Jordan</u> in 1925-1926, a quantum theory of the free electromagnetic field (one with no interactions with matter) was developed via <u>canonical quantization</u> by treating the electromagnetic field as a set of <u>quantum harmonic oscillators</u>.<sup>[8]:1</sup> With the exclusion of interactions, however, such a theory was yet incapable of making quantitative predictions about the real world.<sup>[3]:22</sup>

In his seminal 1927 paper *The quantum theory of the emission and absorption of radiation*, Dirac coined the term <u>quantum electrodynamics</u> (QED), a theory that adds upon the terms describing the free electromagnetic field an additional interaction term between electric <u>current density</u> and the <u>electromagnetic vector potential</u>. Using first-order <u>perturbation theory</u>, he successfully explained the phenomenon of spontaneous emission. According to the <u>uncertainty principle</u> in quantum mechanics, quantum harmonic oscillators cannot remain stationary, but they have a non-zero minimum energy and must always be oscillating, even in the lowest energy state (the <u>ground state</u>). Therefore, even in a perfect <u>vacuum</u>, there remains an oscillating electromagnetic field having <u>zero-point energy</u>. It is this <u>quantum</u> <u>fluctuation</u> of electromagnetic fields in the vacuum that "stimulates" the spontaneous emission of radiation by electrons in atoms. Dirac's theory was hugely successful in explaining both the emission and absorption of radiation by atoms; by applying second-order perturbation theory, it was able to account for the <u>scattering</u> of photons, <u>resonance fluorescence</u>, as well as non-relativistic <u>Compton scattering</u>. Nonetheless, the application of higher-order perturbation theory was plagued with problematic infinities in calculations.<sup>[6]:71</sup>

In 1928, Dirac wrote down a <u>wave equation</u> that described relativistic electrons — the <u>Dirac equation</u>. It had the following important consequences: the <u>spin</u> of an electron is 1/2; the electron *g*-factor is 2; it led to the correct Sommerfeld formula for the <u>fine structure</u> of the <u>hydrogen atom</u>; and it could be used to derive the <u>Klein-Nishina formula</u> for relativistic Compton scattering. Although the results were fruitful, the theory also apparently implied the existence of negative energy states, which would cause atoms to be unstable, since they could always decay to lower energy states by the emission of radiation.<sup>[6]:71-72</sup>

The prevailing view at the time was that the world was composed of two very different ingredients: material particles (such as electrons) and quantum fields (such as photons). Material particles were considered to be eternal, with their physical state described by the probabilities of finding each particle in any given region of space or range of velocities. On the other hand photons were considered merely the <u>excited states</u> of the underlying quantized electromagnetic field, and could be freely created or destroyed. It was between 1928 and 1930 that Jordan, <u>Eugene Wigner</u>, Heisenberg, Pauli, and <u>Enrico Fermi</u> discovered that material particles could also be seen as excited states of quantum fields. Just as photons are excited states of the quantized electromagnetic field, so each type of particle had its corresponding

quantum field: an electron field, a proton field, etc. Given enough energy, it would now be possible to create material particles. Building on this idea, Fermi proposed in 1932 an explanation for  $\beta$  decay known as Fermi's interaction. Atomic nuclei do not contain electrons *per se*, but in the process of decay, an electron is created out of the surrounding electron field, analogous to the photon created from the surrounding electromagnetic field in the radiative decay of an excited atom.<sup>[3]:22-23</sup>

It was realized in 1929 by Dirac and others that negative energy states implied by the Dirac equation could be removed by assuming the existence of particles with the same mass as electrons but opposite electric charge. This not only ensured the stability of atoms, but it was also the first proposal of the existence of <u>antimatter</u>. Indeed, the evidence for <u>positrons</u> was discovered in 1932 by <u>Carl David Anderson in <u>cosmic rays</u>. With enough energy, such as by absorbing a photon, an electron-positron pair could be created, a process called <u>pair production</u>; the reverse process, annihilation, could also occur with the emission of a photon. This showed that particle numbers need not be fixed during an interaction. Historically, however, positrons were at first thought of as "holes" in an infinite electron sea, rather than a new kind of particle, and this theory was referred to as the Dirac hole theory.<sup>[6]:72[3]:23</sup> QFT naturally incorporated antiparticles in its formalism.<sup>[3]:24</sup></u>

#### Infinities and renormalization

<u>Robert Oppenheimer</u> showed in 1930 that higher-order perturbative calculations in QED always resulted in infinite quantities, such as the electron <u>self-energy</u> and the vacuum zero-point energy of the electron and photon fields,<sup>[6]</sup> suggesting that the computational methods at the time could not properly deal with interactions involving photons with extremely high momenta.<sup>[3]:25</sup> It was not until 20 years later that a systematic approach to remove such infinities was developed.

A series of papers were published between 1934 and 1938 by <u>Ernst Stueckelberg</u> that established a relativistically invariant formulation of QFT. In 1947, Stueckelberg also independently developed a complete renormalization procedure. Unfortunately, such achievements were not understood and recognized by the theoretical community.<sup>[6]</sup>

Faced with these infinities, John Archibald Wheeler and Heisenberg proposed, in 1937 and 1943 respectively, to supplant the problematic QFT with the so-called <u>S</u>-<u>matrix theory</u>. Since the specific details of microscopic interactions are inaccessible to observations, the theory should only attempt to describe the relationships between a small number of <u>observables</u> (*e.g.* the energy of an atom) in an interaction, rather than be concerned with the microscopic minutiae of the interaction. In 1945, <u>Richard Feynman</u> and Wheeler daringly suggested abandoning QFT altogether and proposed <u>action-at-a-distance</u> as the mechanism of particle interactions.<sup>[3]:26</sup>

In 1947, Willis Lamb and Robert Retherford measured the minute difference in the  ${}^{2}S_{1/2}$  and  ${}^{2}P_{1/2}$  energy levels of the hydrogen atom, also called the Lamb shift. By ignoring the contribution of photons whose energy exceeds the electron mass, Hans Bethe successfully estimated the numerical value of the Lamb shift.<sup>[6][3]:28</sup> Subsequently, Norman Myles Kroll, Lamb, James Bruce French, and Victor Weisskopf again confirmed this value using an approach in which infinities cancelled other infinities to result in finite quantities. However, this method was clumsy and unreliable and could not be generalized to other calculations.<sup>[6]</sup>

The breakthrough eventually came around 1950 when a more robust method for eliminating infinities was developed Julian Schwinger, Feynman, Freeman Dyson, and Shinichiro Tomonaga. The main idea is to replace the initial, so-called "bare", parameters (mass, electric charge, etc.), which have no physical meaning, by their finite measured values. To cancel the apparently infinite parameters, one has to introduce additional, infinite, "counterterms" into the Lagrangian. This systematic computational procedure is known as renormalization and can be applied to arbitrary order in perturbation theory.<sup>[6]</sup>

By applying the renormalization procedure, calculations were finally made to explain the electron's <u>anomalous magnetic moment</u> (the deviation of the electron  $\underline{g}$ factor from 2) and <u>vacuum polarisation</u>. These results agreed with experimental measurements to a remarkable degree, thus marking the end of a "war against infinities".<sup>[6]</sup>

At the same time, Feynman introduced the <u>path integral formulation</u> of quantum mechanics and <u>Feynman diagrams</u>.<sup>[8]:2</sup> The latter can be used to visually and intuitively organise and to help compute terms in the perturbative expansion. Each diagram can be interpreted as paths of particles in an interaction, with each vertex and line having a corresponding mathematical expression, and the product of these expressions gives the <u>scattering amplitude</u> of the interaction represented by the diagram.<sup>[1]:5</sup>

It was with the invention of the renormalization procedure and Feynman diagrams that QFT finally arose as a complete theoretical framework.<sup>[8]:2</sup>

#### Non-renormalizability

Given the tremendous success of QED, many theorists believed, in the few years after 1949, that QFT could soon provide an understanding of all microscopic phenomena, not only the interactions between photons, electrons, and positrons. Contrary to this optimism, QFT entered yet another period of depression that lasted for almost two decades.<sup>[3]:30</sup>

The first obstacle was the limited applicability of the renormalization procedure. In perturbative calculations in QED, all infinite quantities could be eliminated by redefining a small (finite) number of physical quantities (namely the mass and charge of the electron). Dyson proved in 1949 that this is only possible for a small class of theories called "renormalizable theories", of which QED is an example. However, most theories, including the Fermi theory of the weak interaction, are "non-renormalizable". Any perturbative calculation in these theories beyond the first order would result in infinities that could not be removed by redefining a finite number of physical quantities.<sup>[3]:30</sup>

The second major problem stemmed from the limited validity of the Feynman diagram method, which are based on a series expansion in perturbation theory. In order for the series to converge and low-order calculations to be a good approximation, the <u>coupling constant</u>, in which the series is expanded, must be a sufficiently small number. The coupling constant in QED is the <u>fine-structure constant</u>  $\alpha \approx 1/137$ , which is small enough that only the simplest, lowest order, Feynman diagrams need to be considered in realistic calculations. In contrast, the coupling constant in the <u>strong interaction</u> is roughly of the order of one, making complicated, higher order, Feynman diagrams just as important as simple ones. There was thus no way of deriving reliable quantitative predictions for the strong interaction using perturbative QFT methods.<sup>[3]:31</sup>

With these difficulties looming, many theorists began to turn away from QFT. Some focused on <u>symmetry</u> principles and <u>conservation laws</u>, while others picked up the old S-matrix theory of Wheeler and Heisenberg. QFT was used heuristically as guiding principles, but not as a basis for quantitative calculations.<sup>[3]:31</sup>

#### Standard Model

In 1954, Yang Chen-Ning and Robert Mills generalised the local symmetry of QED, leading to non-Abelian gauge theories (also known as Yang-Mills theories), which are based on more complicated local symmetry groups.<sup>[9]:5</sup> In QED, (electrically) charged particles interact via the exchange of photons, while in non-Abelian gauge theory, particles carrying a new type of "charge" interact via the exchange of massless gauge bosons. Unlike photons, these gauge bosons themselves carry charge.<sup>[3]:32[10]</sup>

<u>Sheldon Glashow</u> developed a non-Abelian gauge theory that unified the electromagnetic and weak interactions in 1960. In 1964, <u>Abdul Salam</u> and <u>John Clive Ward</u> arrived at the same theory through a different path. This theory, nevertheless, was non-renormalizable.<sup>[11]</sup>

<u>Peter Higgs</u>, <u>Robert Brout</u>, and <u>François Englert</u> proposed in 1964 that the gauge symmetry in Yang-Mills theories could be broken by a mechanism called <u>spontaneous symmetry breaking</u>, through which originally massless gauge bosons could acquire mass.<sup>[9]:5-6</sup>

By combining the earlier theory of Glashow, Salam, and Ward with the idea of spontaneous symmetry breaking, <u>Steven Weinberg</u> wrote down in 1967 a theory describing <u>electroweak interactions</u> between all <u>leptons</u> and the effects of the <u>Higgs boson</u>. His theory was at first mostly ignored, <sup>[11][9]:6</sup> until it was brought back to light in 1971 by <u>Gerard 't Hooft</u>'s proof that non-Abelian gauge theories are renormalizable. The electroweak theory of Weinberg and Salam was extended from leptons to <u>quarks</u> in 1970 by Glashow, John Iliopoulos, and Luciano Maiani, marking its completion.<sup>[11]</sup>

#### Harald Fritzsch, Murray Gell-Mann, and Heinrich Leutwyler discovered in 1971 that certain phenomena

involving the <u>strong interaction</u> could also be explained by non-Abelian gauge theory. <u>Quantum chromodynamics</u> (QCD) was born. In 1973, <u>David Gross, Frank</u> <u>Wilczek</u>, and <u>Hugh David Politzer</u> showed that non-Abelian gauge theories are "<u>asymptotically free</u>", meaning that under renormalization, the coupling constant of the strong interaction decreases as the interaction energy increases. (Similar discoveries had been made numerous times prior, but they had been largely ignored.) <sup>[9]:11</sup> Therefore, at least in high-energy interactions, the coupling constant in QCD becomes sufficiently small to warrant a perturbative series expansion, making quantitative predictions for the strong interaction possible.<sup>[3]:32</sup>

These theoretical breakthroughs brought about a renaissance in QFT. The full theory, which includes the electroweak theory and chromodynamics, is referred to today as the <u>Standard Model</u> of elementary particles.<sup>[12]</sup> The Standard Model successfully describes all <u>fundamental interactions</u> except gravity, and its many predictions have been met with remarkable experimental confirmation in subsequent decades.<sup>[8]:3</sup> The <u>Higgs boson</u>, central to the mechanism of spontaneous symmetry breaking, was finally detected in 2012 at <u>CERN</u>, marking the complete verification of the existence of all constituents of the Standard Model.<sup>[13]</sup>

#### Other developments

The 1970s saw the development of non-perturbative methods in non-Abelian gauge theories. The <u>'t Hooft-Polyakov monopole</u> was discovered by 't Hooft and <u>Alexander Polyakov</u>, <u>flux tubes</u> by <u>Holger Bech Nielsen</u> and <u>Poul Olesen</u>, and <u>instantons</u> by Polyakov *et al.*. These objects are inaccessible through perturbation theory.<sup>[8]:4</sup>

<u>Supersymmetry</u> also appeared in the same period. The first supersymmetric QFT in four dimensions was built by <u>Yuri Golfand</u> and <u>Evgeny Likhtman</u> in 1970, but their result failed to garner widespread interest due to the <u>Iron Curtain</u>. Supersymmetry only took off in the theoretical community after the work of <u>Julius Wess</u> and Bruno Zumino in 1973.<sup>[8]:7</sup>

Among the four fundamental interactions, gravity remains the only one that lacks a consistent QFT description. Various attempts at a theory of <u>quantum gravity</u> led to the development of <u>string theory</u>,<sup>[8]:6</sup> itself a type of two-dimensional QFT with <u>conformal symmetry</u>.<sup>[14]</sup> <u>Joël Scherk</u> and <u>John Schwarz</u> first proposed in 1974 that string theory could be *the* quantum theory of gravity.<sup>[15]</sup>

#### **Condensed matter physics**

Although quantum field theory arose from the study of interactions between elementary particles, it has been successfully applied to other physical systems, particularly to many-body systems in condensed matter physics.

Historically, the Higgs mechanism of spontaneous symmetry breaking was a result of <u>Yoichiro Nambu's application of superconductor</u> theory to elementary particles, while the concept of renormalization came out of the study of second-order phase transitions in matter.<sup>[16]</sup>

Soon after the introduction of photons, Einstein performed the quantization procedure on vibrations in a crystal, leading to the first <u>quasiparticle</u> – <u>phonons</u>. Lev Landau claimed that low-energy excitations in many condensed matter systems could be described in terms of interactions between a set of quasiparticles. The Feynman diagram method of QFT was naturally well suited to the analysis of various phenomena in condensed matter systems.<sup>[17]</sup>

Gauge theory is used to describe the quantization of  $\underline{\text{magnetic flux}}$  in superconductors, the resistivity in the quantum Hall effect, as well as the relation between frequency and voltage in the AC Josephson effect.<sup>[17]</sup>



Elementary particles of the Standard Model: six types of matter quarks, four types of gauge bosons that carry fundamental interactions, as well as the Higgs boson, which endow elementary particles with mass.

## **Principles**

For simplicity, natural units are used in the following sections, in which the reduced Planck constant  $\hbar$  and the speed of light *c* are both set to one.

#### **Classical fields**

A classical field is a function of spatial and time coordinates.<sup>[18]</sup> Examples include the gravitational field in Newtonian gravity  $\mathbf{g}(\mathbf{x}, t)$  and the electric field  $\mathbf{E}(\mathbf{x}, t)$  and magnetic field  $\mathbf{B}(\mathbf{x}, t)$  in classical electromagnetism. A classical field can be thought of as a numerical quantity assigned to every point in space that changes in time. Hence, it has infinite degrees of freedom.<sup>[18]</sup>

Many phenomena exhibiting quantum mechanical properties cannot be explained by classical fields alone. Phenomena such as the <u>photoelectric effect</u> are best explained by discrete particles (<u>photons</u>), rather than a spatially continuous field. The goal of quantum field theory is to describe various quantum mechanical phenomena using a modified concept of fields.

<u>Canonical quantisation</u> and <u>path integrals</u> are two common formulations of QFT.<sup>[19]:61</sup> To motivate the fundamentals of QFT, an overview of classical field theory is in order.

The simplest classical field is a real scalar field – a real number at every point in space that changes in time. It is denoted as  $\phi(\mathbf{x}, t)$ , where  $\mathbf{x}$  is the position vector, and t is the time. Suppose the Lagrangian of the field is

$$L = \int d^3x \, {\cal L} = \int d^3x \, \left[ {1 \over 2} {\dot \phi}^2 - {1 \over 2} (
abla \phi)^2 - {1 \over 2} m^2 \phi^2 
ight],$$

where  $\dot{\phi}$  is the time-derivative of the field,  $\nabla$  is the <u>divergence operator</u>, and *m* is a real parameter (the "mass" of the field). Applying the <u>Euler–Lagrange equation</u> on the Lagrangian:<sup>[1]:16</sup>

$$rac{\partial}{\partial t}\left[rac{\partial \mathcal{L}}{\partial(\partial \phi/\partial t)}
ight]+\sum_{i=1}^{3}rac{\partial}{\partial x^{i}}\left[rac{\partial \mathcal{L}}{\partial(\partial \phi/\partial x^{i})}
ight]-rac{\partial \mathcal{L}}{\partial \phi}=0,$$

we obtain the equations of motion for the field, which describe the way it varies in time and space:

$$\left(rac{\partial^2}{\partial t^2}-
abla^2+m^2
ight)\phi=0.$$

This is known as the Klein-Gordon equation.[1]:17

The Klein-Gordon equation is a wave equation, so its solutions can be expressed as a sum of normal modes (obtained via Fourier transform) as follows:

$$\phi(\mathbf{x},t) = \int rac{d^3p}{(2\pi)^3} rac{1}{\sqrt{2\omega_{\mathbf{p}}}} \left( a_{\mathbf{p}} e^{-i\omega_{\mathbf{p}}t + i\mathbf{p}\cdot\mathbf{x}} + a_{\mathbf{p}}^* e^{i\omega_{\mathbf{p}}t - i\mathbf{p}\cdot\mathbf{x}} 
ight),$$

where *a* is a complex number (normalised by convention), \* denotes complex conjugation, and  $\omega_n$  is the frequency of the normal mode:

$$\omega_{\mathbf{p}}=\sqrt{\left|\mathbf{p}
ight|^{2}+m^{2}}.$$

Thus each normal mode corresponding to a single **p** can be seen as a classical harmonic oscillator with frequency  $\omega_{\mathbf{p}}$ .<sup>[1]:21,26</sup>

#### **Canonical quantisation**

The quantisation procedure for the above classical field is analogous to the promotion of a classical harmonic oscillator to a quantum harmonic oscillator.

The displacement of a classical harmonic oscillator is described by

$$x(t)=rac{1}{\sqrt{2\omega}}ae^{-i\omega t}+rac{1}{\sqrt{2\omega}}a^{*}e^{i\omega t},$$

where *a* is a complex number (normalised by convention), and  $\omega$  is the oscillator's frequency. Note that *x* is the displacement of a particle in simple harmonic motion from the equilibrium position, which should not be confused with the spatial label **x** of a field.

For a quantum harmonic oscillator, x(t) is promoted to a linear operator  $\hat{x}(t)$ :

$$\hat{x}(t) = rac{1}{\sqrt{2\omega}} \hat{a} e^{-i\omega t} + rac{1}{\sqrt{2\omega}} \hat{a}^{\dagger} e^{i\omega t}.$$

Complex numbers *a* and  $a^*$  are replaced by the <u>annihilation operator</u>  $\hat{a}$  and the <u>creation operator</u>  $\hat{a}^{\dagger}$ , respectively, where  $\dagger$  denotes <u>Hermitian conjugation</u>. The commutation relation between the two is

$$[\hat{a}, \hat{a}^{\dagger}] = 1.$$

The vacuum state  $|0\rangle$ , which is the lowest energy state, is defined by

$$\hat{a}|0
angle=0.$$

Any quantum state of a single harmonic oscillator can be obtained from  $|0\rangle$  by successively applying the creation operator  $\hat{a}^{\dagger}$ :<sup>[1]:20</sup>

$$|n
angle=(\hat{a}^{\dagger})^{n}|0
angle.$$

By the same token, the aforementioned real scalar field  $\phi$ , which corresponds to x in the single harmonic oscillator, is also promoted to an operator  $\hat{\phi}$ , while  $a_{\mathbf{p}}$  and  $a_{\mathbf{p}}^{*}$  are replaced by the annihilation operator  $\hat{a}_{\mathbf{p}}$  and the creation operator  $\hat{a}_{\mathbf{p}}^{\dagger}$  for a particular  $\mathbf{p}$ , respectively:

$$\hat{\phi}(\mathbf{x},t) = \int rac{d^3p}{(2\pi)^3} rac{1}{\sqrt{2\omega_{\mathbf{p}}}} \left( \hat{a}_{\mathbf{p}} e^{-i\omega_{\mathbf{p}}t + i\mathbf{p}\cdot\mathbf{x}} + \hat{a}^{\dagger}_{\mathbf{p}} e^{i\omega_{\mathbf{p}}t - i\mathbf{p}\cdot\mathbf{x}} 
ight).$$

Their commutation relations are:[1]:21

$$[\hat{a}_{f p},\hat{a}_{f q}^{\dagger}]=(2\pi)^{3}\delta({f p}-{f q}), \quad [\hat{a}_{f p},\hat{a}_{f q}]=[\hat{a}_{f p}^{\dagger},\hat{a}_{f q}^{\dagger}]=0,$$

where  $\delta$  is the Dirac delta function. The vacuum state  $|0\rangle$  is defined by

$$\hat{a}_{\mathbf{p}}|0
angle=0, \quad ext{for all } \mathbf{p}.$$

Any quantum state of the field can be obtained from  $|0\rangle$  by successively applying creation operators  $\hat{a}_{\mathbf{p}}^{\dagger}$ , e.g.<sup>[1]:22</sup>

$$(\hat{a}^{\dagger}_{{f p}_3})^3 \hat{a}^{\dagger}_{{f p}_2} (\hat{a}^{\dagger}_{{f p}_1})^2 |0
angle.$$

Although the field appearing in the Lagrangian is spatially continuous, the quantum states of the field are discrete. While the state space of a single quantum harmonic oscillator contains all the discrete energy states of one oscillating particle, the state space of a quantum field contains the discrete energy levels of an arbitrary number of particles. The latter space is known as a <u>Fock space</u>, which can account for the fact that particle numbers are not fixed in relativistic quantum systems.<sup>[20]</sup> The process of quantising an arbitrary number of particles instead of a single particle is often also called second quantisation.<sup>[1]:19</sup>

The preceding procedure is a direct application of non-relativistic quantum mechanics and can be used to quantise (complex) scalar fields, <u>Dirac fields</u>,<sup>[1]:52</sup> <u>vector</u> <u>fields</u> (*e.g.* the electromagnetic field), and even <u>strings</u>.<sup>[21]</sup> However, creation and annihilation operators are only well defined in the simplest theories that contain no interactions (so-called free theory). In the case of the real scalar field, the existence of these operators was a consequence of the decomposition of solutions of the classical equations of motion into a sum of normal modes. To perform calculations on any realistic interacting theory, perturbation theory would be necessary.

The Lagrangian of any quantum field in nature would contain interaction terms in addition to the free theory terms. For example, a <u>quartic interaction</u> term could be introduced to the Lagrangian of the real scalar field:<sup>[1]:77</sup>

$$\mathcal{L}=rac{1}{2}(\partial_{\mu}\phi)(\partial^{\mu}\phi)-rac{1}{2}m^{2}\phi^{2}-rac{\lambda}{4!}\phi^{4},$$

where  $\mu$  is a spacetime index,  $\partial_0 = \partial/\partial t$ ,  $\partial_1 = \partial/\partial x^1$ , etc. The summation over the index  $\mu$  has been omitted following the Einstein notation. If the parameter  $\lambda$  is sufficiently small, then the interacting theory described by the above Lagrangian can be considered as a small perturbation from the free theory.

#### Path integrals

The <u>path integral formulation</u> of QFT is concerned with the direct computation of the <u>scattering amplitude</u> of a certain interaction process, rather than the establishment of operators and state spaces. To calculate the <u>probability amplitude</u> for a system to evolve from some initial state  $|\phi_I\rangle$  at time t = 0 to some final state  $|\phi_F\rangle$  at t = T, the total time *T* is divided into *N* small intervals. The overall amplitude is the product of the amplitude of evolution within each interval, integrated over all intermediate states. Let *H* be the Hamiltonian (*i.e.* generator of time evolution), then<sup>[19]:10</sup>

$$\langle \phi_F | e^{-iHT} | \phi_I 
angle = \int d\phi_1 \int d\phi_2 \cdots \int d\phi_{N-1} \langle \phi_F | e^{-iHT/N} | \phi_{N-1} 
angle \cdots \langle \phi_2 | e^{-iHT/N} | \phi_1 
angle \langle \phi_1 | e^{-iHT/N} | \phi_I 
angle$$

Taking the limit  $N \rightarrow \infty$ , the above product of integrals becomes the Feynman path integral:<sup>[1]:282[19]:12</sup>

$$\langle \phi_F | e^{-iHT} | \phi_I 
angle = \int \mathcal{D} \phi(t) \, \expiggl\{ i \int_0^T dt \, L iggr\},$$

where L is the Lagrangian involving  $\phi$  and its derivatives with respect to spatial and time coordinates, obtained from the Hamiltonian H via Legendre transform. The initial and final conditions of the path integral are respectively

$$\phi(0)=\phi_I, \quad \phi(T)=\phi_F.$$

In other words, the overall amplitude is the sum over the amplitude of every possible path between the initial and final states, where the amplitude of a path is given by the exponential in the integrand.

#### **Two-point correlation function**

Now we assume that the theory contains interactions whose Lagrangian terms are a small perturbation from the free theory.

In calculations, one often encounters such expressions:

## $\langle \Omega | T\{ \phi(x) \phi(y) \} | \Omega angle,$

where *x* and *y* are position <u>four-vectors</u>, *T* is the <u>time ordering</u> operator (namely, it orders *x* and *y* according to their time-component, later time on the left and earlier time on the right), and  $|\Omega\rangle$  is the ground state (vacuum state) of the interacting theory. This expression, known as the two-point <u>correlation function</u> or the two-point Green's function, represents the probability amplitude for the field to propagate from *y* to *x*.<sup>[1]:82</sup>

In canonical quantisation, the two-point correlation function can be written as:[1]:87

$$\langle \Omega | T\{\phi(x)\phi(y)\} | \Omega 
angle = \lim_{T o \infty(1-i\epsilon)} rac{\langle 0 | T\left\{\phi_I(x)\phi_I(y)\exp\left[-i\int_{-T}^T dt\, H_I(t)
ight]
ight\} | 0 
angle}{\langle 0 | T\left\{\exp\left[-i\int_{-T}^T dt\, H_I(t)
ight]
ight\} | 0 
angle},$$

where  $\varepsilon$  is an <u>infinitesimal</u> number,  $\phi_I$  is the field operator under the free theory, and  $H_I$  is the interaction Hamiltonian term. For the  $\phi^4$  theory, it is<sup>[1]:84</sup>

$$H_I(t) = \int dx^3 \, rac{\lambda}{4!} \phi_I(x)^4.$$

Since  $\lambda$  is a small parameter, the <u>exponential function</u> exp can be expanded into a <u>Taylor series</u> in  $\lambda$  and computed term by term. This equation is useful in that it expresses the field operator and ground state in the interacting theory, which are difficult to define, in terms of their counterparts in the free theory, which are well defined.

In the path integral formulation, the two-point correlation function can be written as:<sup>[1]:284</sup>

$$\langle \Omega | T\{\phi(x)\phi(y)\} | \Omega 
angle = \lim_{T o \infty(1-i\epsilon)} rac{\int \mathcal{D} \phi \, \phi(x) \phi(y) \exp \left[ i \int_{-T}^T d^4 z \, \mathcal{L} 
ight]}{\int \mathcal{D} \phi \, \exp \left[ i \int_{-T}^T d^4 z \, \mathcal{L} 
ight]},$$

where  $\mathcal{L}$  is the Lagrangian density. As in the previous paragraph, the exponential factor involving the interaction term can also be expanded as a series in  $\lambda$ .

According to Wick's theorem, any n-point correlation function in the free theory can be written as a sum of products of two-point correlation functions. For example,

$$egin{aligned} &\langle 0|T\{\phi(x_1)\phi(x_2)\phi(x_3)\phi(x_4)\}|0
angle =&\langle 0|T\{\phi(x_1)\phi(x_2)\}|0
angle\langle 0|T\{\phi(x_3)\phi(x_4)\}|0
angle \ &+\langle 0|T\{\phi(x_1)\phi(x_3)\}|0
angle\langle 0|T\{\phi(x_2)\phi(x_4)\}|0
angle \ &+\langle 0|T\{\phi(x_1)\phi(x_4)\}|0
angle\langle 0|T\{\phi(x_2)\phi(x_3)\}|0
angle \end{aligned}$$

Since correlation functions in the interacting theory can be expressed in terms of those in the free theory, only the latter need to be evaluated in order to calculate all physical quantities in the (perturbative) interacting theory.<sup>[1]:90</sup>

Either through canonical quantisation or path integrals, one can obtain:

$$D_F(x-y) \equiv \langle 0 | T\{ \phi(x) \phi(y) \} | 0 
angle = \int rac{d^4 p}{(2\pi)^4} rac{i}{p_\mu p^\mu - m^2 + i \epsilon} e^{-i p_\mu (x^\mu - y^\mu)} \, .$$

This is known as the Feynman propagator for the real scalar field.<sup>[1]:31,288[19]:23</sup>

#### Feynman diagram

Correlation functions in the interacting theory can be written as a perturbation series. Each term in the series is a product of Feynman propagators in the free theory and can be represented visually by a Feynman diagram. For example, the  $\lambda^1$  term in the two-point correlation function in the  $\phi^4$  theory is

$$rac{-i\lambda}{4!}\langle 0|T\{\phi(x)\phi(y)\int d^4z\,\phi(z)\phi(z)\phi(z)\phi(z)\}|0
angle.$$

After applying Wick's theorem, one of the terms is

$$12\cdot rac{-i\lambda}{4!}\int d^4z\, D_F(x-z)D_F(y-z)D_F(z-z),$$

whose corresponding Feynman diagram is



Every point corresponds to a single  $\phi$  field factor. Points labelled with x and y are called external points, while those in the interior are called internal points or vertices (there is one in this diagram). The value of the corresponding term can be obtained from the diagram by following "Feynman rules": assign  $-i\lambda \int d^4 z$  to every vertex and the Feynman propagator  $D_F(x_1 - x_2)$  to every line with end points  $x_1$  and  $x_2$ . The product of factors corresponding to every element in the diagram, divided by the "symmetry factor" (2 for this diagram), gives the expression for the term in the perturbation series.<sup>[1]:91-94</sup>

In order to compute the *n*-point correlation function to the *k*-th order, list all valid Feynman diagrams with *n* external points and *k* or fewer vertices, and then use Feynman rules to obtain the expression for each term. To be precise,

$$\langle \Omega | T\{ \phi(x_1) \cdots \phi(x_n) \} | \Omega 
angle$$

is equal to the sum of (expressions corresponding to) all connected diagrams with *n* external points. (Connected diagrams are those in which every vertex is connected to an external point through lines. Components that are totally disconnected from external lines are sometimes called "vacuum bubbles".) In the  $\phi^4$  interaction theory discussed above, every vertex must have four legs.<sup>[1]:98</sup>

In realistic applications, the scattering amplitude of a certain interaction or the <u>decay rate</u> of a particle can be computed from the <u>S-matrix</u>, which itself can be found using the Feynman diagram method.<sup>[1]:102-115</sup>

Feynman diagrams devoid of "loops" are called tree-level diagrams, which describe the lowest-order interaction processes; those containing n loops are referred to as n-loop diagrams, which describe higher-order contributions, or radiative corrections, to the interaction.<sup>[19]:44</sup> Lines whose end points are vertices can be thought of as the propagation of virtual particles.<sup>[1]:31</sup>

#### Renormalisation

Feynman rules can be used to directly evaluate tree-level diagrams. However, naïve computation of loop diagrams such as the one shown above will result in divergent momentum integrals, which seems to imply that almost all terms in the perturbative expansion are infinite. The <u>renormalisation</u> procedure is a systematic process for removing such infinities.

Parameters appearing in the Lagrangian, such as the mass *m* and the coupling constant  $\lambda$ , have no physical meaning -m,  $\lambda$ , and the field strength  $\phi$  are not experimentally measurable quantities and are referred to here as the bare mass, bare coupling constant, and bare field, respectively. The physical mass and coupling constant are measured in some interaction process and are generally different from the bare quantities. While computing physical quantities from this interaction process, one may limit the domain of divergent momentum integrals to be below some momentum cut-off  $\Lambda$ , obtain expressions for the physical quantities, and then take the limit  $\Lambda \rightarrow \infty$ . This is an example of regularisation, a class of methods to treat divergences in QFT, with  $\Lambda$  being the regulator.

The approach illustrated above is called bare perturbation theory, as calculations involve only the bare quantities such as mass and coupling constant. A different approach, called renormalised perturbation theory, is to use physically meaningful quantities from the very beginning. In the case of  $\phi^4$  theory, the field strength is first redefined:

$$\phi=Z^{1/2}\phi_r,$$

where  $\phi$  is the bare field,  $\phi_r$  is the renormalised field, and Z is a constant to be determined. The Lagrangian density becomes:

$$\mathcal{L}=rac{1}{2}(\partial_{\mu}\phi_r)(\partial^{\mu}\phi_r)-rac{1}{2}m_r^2\phi_r^2-rac{\lambda_r}{4!}\phi_r^4+rac{1}{2}\delta_Z(\partial_{\mu}\phi_r)(\partial^{\mu}\phi_r)-rac{1}{2}\delta_m\phi_r^2-rac{\delta_\lambda}{4!}\phi_r^4,$$

where  $m_r$  and  $\lambda_r$  are the experimentally measurable, renormalised, mass and coupling constant, respectively, and

$$\delta_Z=Z-1, \quad \delta_m=m^2Z-m_r^2, \quad \delta_\lambda=\lambda Z^2-\lambda_r$$

are constants to be determined. The first three terms are the  $\phi^4$  Lagrangian density written in terms of the renormalised quantities, while the latter three terms are referred to as "counterterms". As the Lagrangian now contains more terms, so the Feynman diagrams should include additional elements, each with their own Feynman rules. The procedure is outlined as follows. First select a regularisation scheme (such as the cut-off regularisation introduced above or dimensional regularization); call the regulator  $\Lambda$ . Compute Feynman diagrams, in which divergent terms will depend on  $\Lambda$ . Then, define  $\delta_Z$ ,  $\delta_m$ , and  $\delta_\lambda$  such that Feynman diagrams for the counterterms will exactly cancel the divergent terms in the normal Feynman diagrams when the limit  $\Lambda \rightarrow \infty$  is taken. In this way, meaningful finite quantities are obtained.<sup>[1]:323-326</sup>

It is only possible to eliminate all infinities to obtain a finite result in renormalisable theories, whereas in non-renormalisable theories infinities cannot be removed by the redefinition of a small number of parameters. The <u>Standard Model</u> of elementary particles is a renormalisable QFT,<sup>[1]:719–727</sup> while <u>quantum gravity</u> is non-renormalisable.<sup>[1]:798[19]:421</sup>

#### Renormalisation group

The <u>renormalisation group</u>, developed by <u>Kenneth Wilson</u>, is a mathematical apparatus used to study the changes in physical parameters (coefficients in the Lagrangian) as the system is viewed at different scales.<sup>[1]:393</sup> The way in which each parameter changes with scale is described by its  $\beta$  function.<sup>[1]:417</sup> Correlation functions, which underlie quantitative physical predictions, change with scale according to the <u>Callan–Symanzik equation</u>.<sup>[1]:410-411</sup>

As an example, the coupling constant in QED, namely the elementary charge e, has the following  $\beta$  function:

$$eta(e)\equivrac{1}{\Lambda}rac{de}{d\Lambda}=rac{e^3}{12\pi^2}+O(e^5),$$

where  $\Lambda$  is the energy scale under which the measurement of *e* is performed. This <u>differential equation</u> implies that the observed elementary charge increases as the scale increases.<sup>[22]</sup> The renormalized coupling constant, which changes with the energy scale, is also called the running coupling constant.<sup>[1]:420</sup>

The coupling constant g in quantum chromodynamics, a non-Abelian gauge theory based on the symmetry group SU(3), has the following  $\beta$  function:

$$eta(g)\equiv rac{1}{\Lambda}rac{dg}{d\Lambda}=rac{g^3}{16\pi^2}\left(-11+rac{2}{3}N_f
ight),$$

where  $N_f$  is the number of <u>quark flavours</u>. In the case where  $N_f \le 16$  (the Standard Model has  $N_f = 6$ ), the coupling constant *g* decreases as the energy scale increases. Hence, while the strong interaction is strong at low energies, it becomes very weak in high-energy interactions, a phenomenon known as asymptotic freedom.<sup>[1]:531</sup>

<u>Conformal field theories</u> (CFTs) are special QFTs that admit <u>conformal symmetry</u>. They are insensitive to changes in the scale, as all their coupling constants have vanishing  $\beta$  function. (The converse is not true, however – the vanishing of all  $\beta$  functions does not imply conformal symmetry of the theory.)<sup>[23]</sup> Examples include string theory.<sup>[14]</sup> and N = 4 supersymmetric Yang–Mills theory.<sup>[24]</sup>

According to Wilson's picture, every QFT is fundamentally accompanied by its energy cut-off  $\Lambda$ , *i.e.* that the theory is no longer valid at energies higher than  $\Lambda$ , and all degrees of freedom above the scale  $\Lambda$  are to be omitted. For example, the cut-off could be the inverse of the atomic spacing in a condensed matter system, and in elementary particle physics it could be associated with the fundamental "graininess" of spacetime caused by quantum fluctuations in gravity. The cut-off scale of theories of particle interactions lies far beyond current experiments. Even if the theory were very complicated at that scale, as long as its couplings are sufficiently

weak, it must be described at low energies by a renormalisable <u>effective field theory</u>.<sup>[1]:402-403</sup> The difference between renormalisable and non-renormalisable theories is that the former are insensitive to details at high energies, whereas the latter do depend of them.<sup>[8]:2</sup> According to this view, non-renormalisable theories are to be seen as low-energy effective theories of a more fundamental theory. The failure to remove the cut-off  $\Lambda$  from calculations in such a theory merely indicates that new physical phenomena appear at scales above  $\Lambda$ , where a new theory is necessary.<sup>[19]:156</sup>

#### Other theories

The quantisation and renormalisation procedures outlined in the preceding sections are performed for the free theory and  $\phi^4$  theory of the real scalar field. A similar process can be done for other types of fields, including the <u>complex</u> scalar field, the <u>vector field</u>, and the <u>Dirac field</u>, as well as other types of interaction terms, including the electromagnetic interaction and the Yukawa interaction.

As an example, <u>quantum electrodynamics</u> contains a Dirac field  $\psi$  representing the <u>electron</u> field and a vector field  $A^{\mu}$  representing the electromagnetic field (<u>photon</u> field). (Despite its name, the quantum electromagnetic "field" actually corresponds to the classical <u>electromagnetic four-potential</u>, rather than the classical electric and magnetic fields.) The full QED Lagrangian density is:

$${\cal L} = ar\psi (i \gamma^\mu \partial_\mu - m) \psi - rac{1}{4} F_{\mu
u} F^{\mu
u} - e ar\psi \gamma^\mu \psi A_\mu,$$

where  $\gamma^{\mu}$  are <u>Dirac matrices</u>,  $\bar{\psi} = \psi^{\dagger} \gamma^{0}$ , and  $F_{\mu\nu} = \partial_{\mu} A_{\nu} - \partial_{\nu} A_{\mu}$  is the <u>electromagnetic field strength</u>. The parameters in this theory are the (bare) electron mass *m* and the (bare) <u>elementary charge</u> *e*. The first and second terms in the Lagrangian density correspond to the free Dirac field and free vector fields, respectively. The last term describes the interaction between the electron and photon fields, which is treated as a perturbation from the free theories.<sup>[1]:78</sup>



Shown above is an example of a tree-level Feynman diagram in QED. It describes an electron and a positron annihilating, creating an <u>off-shell</u> photon, and then decaying into a new pair of electron and positron. Time runs from left to right. Arrows pointing forward in time represent the propagation of positrons, while those pointing backward in time represent the propagation of electrons. A wavy line represents the propagation of a photon. Each vertex in QED Feynman diagrams must have an incoming and an outgoing fermion (positron/electron) leg as well as a photon leg.

#### Gauge symmetry

If the following transformation to the fields is performed at every spacetime point x (a local transformation), then the QED Lagrangian remains unchanged, or invariant:

$$\psi(x) o e^{ilpha(x)}\psi(x), \quad A_\mu(x) o A_\mu(x) + i e^{-1} e^{-ilpha(x)} \partial_\mu e^{i lpha(x)},$$

where  $\alpha(x)$  is any function of spacetime coordinates. If a theory's Lagrangian (or more precisely the <u>action</u>) is invariant under a certain local transformation, then the transformation is referred to as a <u>gauge symmetry</u> of the theory.<sup>[1]:482–483</sup> Gauge symmetries form a <u>group</u> at every spacetime point. In the case of QED, the successive application of two different local symmetry transformations  $e^{i\alpha(x)}$  and  $e^{i\alpha'(x)}$  is yet another symmetry transformation  $e^{i[\alpha(x)+\alpha'(x)]}$ . For any  $\alpha(x)$ ,  $e^{i\alpha(x)}$  is an element of the U(1) group, thus QED is said to have U(1) gauge symmetry.<sup>[1]:496</sup> The photon field  $A_{\mu}$  may be referred to as the U(1) gauge boson.

U(1) is an <u>Abelian group</u>, meaning that the result is the same regardless of the order in which its elements are applied. QFTs can also be built on <u>non-Abelian groups</u>, giving rise to <u>non-Abelian group</u> (also known as Yang–Mills theories).<sup>[1]:489</sup> Quantum chromodynamics, which describes the strong interaction, is a non-Abelian gauge theory with an <u>SU(3)</u> gauge symmetry. It contains three Dirac fields  $\psi^i$ , i = 1,2,3 representing <u>quark</u> fields as well as eight vector fields  $A^{a,\mu}$ , a = 1,...,8 representing gluon fields, which are the SU(3) gauge bosons.<sup>[1]:547</sup> The QCD Lagrangian density is:<sup>[1]:490-491</sup>

$${\cal L}=iar\psi^i\gamma^\mu(D_\mu)^{ij}\psi^j-rac{1}{4}F^a_{\mu
u}F^{a,\mu
u}-mar\psi^i\psi^i,$$

where  $D_{\mu}$  is the gauge covariant derivative:

$$D_{\mu}=\partial_{\mu}-igA^a_{\mu}t^a,$$

where g is the coupling constant,  $t^a$  are the eight generators of SU(3) in the fundamental representation (3×3 matrices),

$$F^a_{\mu
u}=\partial_\mu A^a_
u-\partial_
u A^a_\mu+gf^{abc}A^b_\mu A^c_
u,$$

and  $f^{abc}$  are the <u>structure constants</u> of SU(3). Repeated indices *i,j,a* are implicitly summed over following Einstein notation. This Lagrangian is invariant under the transformation:

$$\psi^i(x) o U^{ij}(x) \psi^j(x), \quad A^a_\mu(x) t^a o U(x) \left[ A^a_\mu(x) t^a + i g^{-1} \partial_\mu 
ight] U^\dagger(x),$$

where U(x) is an element of SU(3) at every spacetime point *x*:

$$U(x)=e^{ilpha(x)^at^a}.$$

The preceding discussion of symmetries is on the level of the Lagrangian. In other words, these are "classical" symmetries. After quantisation, some theories will no longer exhibit their classical symmetries, a phenomenon called <u>anomaly</u>. For instance, in the path integral formulation, despite the invariance of the Lagrangian density  $\mathcal{L}[\phi, \partial_{\mu}\phi]$  under a certain local transformation of the fields, the <u>measure</u>  $\int \mathcal{D}\phi$  of the path integral may change.<sup>[19]:243</sup> For a theory describing nature to be consistent, it must not contain any anomaly in its gauge symmetry. The Standard Model of elementary particles is a gauge theory based on the group SU(3) × SU(2) × U(1), in which all anomalies exactly cancel.<sup>[1]:705-707</sup>

The theoretical foundation of general relativity, the <u>equivalence principle</u>, can also be understood as a form of gauge symmetry, making general relativity a gauge theory based on the Lorentz group.<sup>[25]</sup>

<u>Noether's theorem</u> states that every continuous symmetry, *i.e.* the parameter in the symmetry transformation being continuous rather than discrete, leads to a corresponding conservation law.<sup>[1]:17-18[19]:73</sup> For example, the U(1) symmetry of QED implies charge conservation.<sup>[26]</sup>

Gauge transformations do not relate distinct quantum states. Rather, it relates two equivalent mathematical descriptions of the same quantum state. As an example, the photon field  $A^{\mu}$ , being a <u>four-vector</u>, has four apparent degrees of freedom, but the actual state of a photon is described by its two degrees of freedom corresponding to the <u>polarisation</u>. The remaining two degrees of freedom are said to be "redundant" – apparently different ways of writing  $A^{\mu}$  can be related to each other by a gauge transformation and in fact describe the same state of the photon field. In this sense, gauge invariance is not a "real" symmetry, but are a reflection of the "redundancy" of the chosen mathematical description.<sup>[19]:168</sup>

To account for the gauge redundancy in the path integral formulation, one must perform the so-called <u>Faddeev-Popov gauge fixing</u> procedure. In non-Abelian gauge theories, such a procedure introduces new fields called "ghosts". Particles corresponding to the ghost fields are called ghost particles, which cannot be detected externally.<sup>[1]:512-515</sup> A more rigorous generalisation of the Faddeev-Popov procedure is given by <u>BRST quantization</u>.<sup>[1]:517</sup>

#### Spontaneous symmetry breaking

Spontaneous symmetry breaking is a mechanism whereby the symmetry of the Lagrangian is violated by the system described by it.<sup>[1]:347</sup>

To illustrate the mechanism, consider a linear sigma model containing N real scalar fields, described by the Lagrangian density:

$$\mathcal{L}=rac{1}{2}(\partial_{\mu}\phi^i)(\partial^{\mu}\phi^i)+rac{1}{2}\mu^2\phi^i\phi^i-rac{\lambda}{4}(\phi^i\phi^i)^2,$$

where  $\mu$  and  $\lambda$  are real parameters. The theory admits an O(*N*) global symmetry:

$$\phi^i o R^{ij} \phi^j, \quad R \in \mathrm{O}(N).$$

The lowest energy state (ground state or vacuum state) of the classical theory is any uniform field  $\phi_0$  satisfying

$$\phi^i_0\phi^i_0=rac{\mu^2}{\lambda}.$$

Without loss of generality, let the ground state be in the N-th direction:

$$\phi_0^i = \left(0, \cdots, 0, rac{\mu}{\sqrt{\lambda}}
ight).$$

The original N fields can be rewritten as:

$$\phi^i(x) = \left(\pi^1(x), \cdots, \pi^{N-1}(x), rac{\mu}{\sqrt{\lambda}} + \sigma(x)
ight),$$

and the original Lagrangian density as:

$$\mathcal{L}=rac{1}{2}(\partial_{\mu}\pi^{k})(\partial^{\mu}\pi^{k})+rac{1}{2}(\partial_{\mu}\sigma)(\partial^{\mu}\sigma)-rac{1}{2}(2\mu^{2})\sigma^{2}-\sqrt{\lambda}\mu\sigma^{3}-\sqrt{\lambda}\mu\pi^{k}\pi^{k}\sigma-rac{\lambda}{2}\pi^{k}\pi^{k}\sigma^{2}-rac{\lambda}{4}(\pi^{k}\pi^{k})^{2},$$

where k = 1,...,N-1. The original O(*N*) global symmetry is no longer manifest, leaving only the <u>subgroup</u> O(*N*-1). The larger symmetry before spontaneous symmetry breaking is said to be "hidden" or spontaneously broken.<sup>[1]:349-350</sup>

<u>Goldstone's theorem</u> states that under spontaneous symmetry breaking, every broken continuous global symmetry leads to a massless field called the Goldstone boson. In the above example, O(N) has N(N-1)/2 continuous symmetries (the dimension of its Lie algebra), while O(N-1) has (N-1)(N-2)/2. The number of broken symmetries is their difference, N-1, which corresponds to the N-1 massless fields  $\pi^k$ .<sup>[1]:351</sup>

On the other hand, when a gauge (as opposed to global) symmetry is spontaneously broken, the resulting Goldstone boson is "eaten" by the corresponding gauge boson by becoming an additional degree of freedom for the gauge boson. The Goldstone boson equivalence theorem states that at high energy, the amplitude for emission or absorption of a longitudinally polarised massive gauge boson becomes equal to the amplitude for emission or absorption of the Goldstone boson that was eaten by the gauge boson.<sup>[1]:743-744</sup>

In the QFT of <u>ferromagnetism</u>, spontaneous symmetry breaking can explain the alignment of <u>magnetic dipoles</u> at low temperatures.<sup>[19]:199</sup> In the Standard Model of elementary particles, the <u>W and Z bosons</u>, which would otherwise be massless as a result of gauge symmetry, acquire mass through spontaneous symmetry breaking of the Higgs boson, a process called the Higgs mechanism.<sup>[1]:690</sup>

#### Supersymmetry

All experimentally known symmetries in nature relate bosons to bosons and fermions to fermions. Theorists have hypothesised the existence of a type of symmetry, called supersymmetry, that relates bosons and fermions. [1]:795[19]:443

The Standard Model obeys Poincaré symmetry, whose generators are spacetime translation  $P^{\mu}$  and Lorentz transformation  $J_{\mu\nu}$ <sup>[27]:58–60</sup> In addition to these generators, supersymmetry in (3+1)-dimensions includes additional generators  $Q_a$ , called <u>supercharges</u>, which themselves transform as <u>Weyl fermions</u>.<sup>[1]:795[19]:444</sup> The symmetry group generated by all these generators is known as the <u>super-Poincaré group</u>. In general there can be more than one set of supersymmetry generators,  $Q_a^{\ l}$ , I = 1, ..., N, which generate the corresponding N = 1 supersymmetry, N = 2 supersymmetry, and so on.<sup>[1]:795[19]:450</sup> Supersymmetry can also be constructed in other dimensions,<sup>[28]</sup> most notably in (1+1) dimensions for its application in superstring theory.<sup>[29]</sup>

The Lagrangian of a supersymmetric theory must be invariant under the action of the super-Poincaré group.<sup>[19]:448</sup> Examples of such theories include: <u>Minimal</u> <u>Supersymmetric Standard Model</u> (MSSM), N = 4 supersymmetric Yang–Mills theory,<sup>[19]:450</sup> and superstring theory. In a supersymmetric theory, every fermion has a bosonic <u>superpartner</u> and vice versa.<sup>[19]:444</sup>

If supersymmetry is promoted to a local symmetry, then the resultant gauge theory is an extension of general relativity called supergravity.<sup>[30]</sup>

Supersymmetry is a potential solution to many current problems in physics. For example, the <u>hierarchy problem</u> of the Standard Model – why the mass of the Higgs boson is not radiatively corrected (under renormalisation) to a very high scale such as the <u>grand unified scale</u> or the <u>Planck scale</u> – can be resolved by relating the <u>Higgs field</u> and its superpartner, the <u>Higgsino</u>. Radiative corrections due to Higgs boson loops in Feynman diagrams are cancelled by corresponding Higgsino loops. Supersymmetry also offers answers to the grand unification of all gauge coupling constants in the Standard Model as well as the nature of <u>dark matter</u>.<sup>[1]:796-797[31]</sup>

Nevertheless, as of 2018, experiments have yet to provide evidence for the existence of supersymmetric particles. If supersymmetry were a true symmetry of nature, then it must be a broken symmetry, and the energy of symmetry breaking must be higher than those achievable by present-day experiments.<sup>[1]:797[19]:443</sup>

#### Other spacetimes

The  $\phi^4$  theory, QED, QCD, as well as the whole Standard Model all assume a (3+1)-dimensional <u>Minkowski space</u> (3 spatial and 1 time dimensions) as the background on which the quantum fields are defined. However, QFT *a priori* imposes no restriction on the number of dimensions nor the geometry of spacetime.

In condensed matter physics, QFT is used to describe (2+1)-dimensional electron gases.<sup>[32]</sup> In high-energy physics, string theory is a type of (1+1)-dimensional QFT,<sup>[19]:452[14]</sup> while Kaluza–Klein theory uses gravity in extra dimensions to produce gauge theories in lower dimensions.<sup>[19]:428-429</sup>

In Minkowski space, the flat metric  $\eta_{\mu\nu}$  is used to raise and lower spacetime indices in the Lagrangian, e.g.

$$A_\mu A^\mu = \eta_{\mu
u} A^\mu A^
u, \quad \partial_\mu \phi \partial^\mu \phi = \eta^{\mu
u} \partial_\mu \phi \partial_
u \phi,$$

where  $\eta^{\mu\nu}$  is the inverse of  $\eta_{\mu\nu}$  satisfying  $\eta^{\mu\rho}\eta^{\rho\nu} = \delta^{\mu}_{\nu}$ . For QFTs in curved spacetime on the other hand, a general metric (such as the Schwarzschild metric describing a black hole) is used:

$$A_\mu A^\mu = g_{\mu
u} A^\mu A^
u, \quad \partial_\mu \phi \partial^\mu \phi = g^{\mu
u} \partial_\mu \phi \partial_
u \phi,$$

where  $g^{\mu\nu}$  is the inverse of  $g_{\mu\nu}$ . For a real scalar field, the Lagrangian density in a general spacetime background is

$$\mathcal{L} = \sqrt{|g|} \left( rac{1}{2} g^{\mu
u} 
abla_\mu \phi 
abla_
u \phi - rac{1}{2} m^2 \phi^2 
ight),$$

where  $g = \det(g_{\mu\nu})$ , and  $\nabla_{\mu}$  denotes the <u>covariant derivative</u>.<sup>[33]</sup> The Lagrangian of a QFT, hence its calculational results and physical predictions, depends on the geometry of the spacetime background.

#### Topological quantum field theory

The correlation functions and physical predictions of a QFT depend on the spacetime metric  $g_{\mu\nu}$ . For a special class of QFTs called <u>topological quantum field theories</u> (TQFTs), all correlation functions are independent of continuous changes in the spacetime metric.<sup>[34]:36</sup> QFTs in curved spacetime generally change according to the *geometry* (local structure) of the spacetime background, while TQFTs are invariant under spacetime <u>diffeomorphisms</u> but are sensitive to the *topology* (global structure) of spacetime. This means that all calculational results of TQFTs are <u>topological invariants</u> of the underlying spacetime. <u>Chern–Simons theory</u> is an example of TQFT. Applications of TQFT include the <u>fractional quantum Hall effect and topological quantum computers.<sup>[35]:1–5</sup></u>

#### Perturbative and non-perturbative methods

Using perturbation theory, the total effect of a small interaction term can be approximated order by order by a series expansion in the number of virtual particles participating in the interaction. Every term in the expansion may be understood as one possible way for (physical) particles to interact with each other via virtual particles, expressed visually using a <u>Feynman diagram</u>. The <u>electromagnetic force</u> between two electrons in QED is represented (to first order in perturbation theory) by the propagation of a virtual photon. In a similar manner, the <u>W and Z bosons</u> carry the weak interaction, while <u>gluons</u> carry the strong interaction. The interpretation of an interaction as a sum of intermediate states involving the exchange of various virtual particles only makes sense in the framework of perturbation theory. In contrast, non-perturbative methods in QFT treat the interacting Lagrangian as a whole without any series expansion. Instead of particles that carry interactions, these methods have spawned such concepts as <u>'t Hooft-Polyakov monopole</u>, domain wall, flux tube, and <u>instanton</u>.<sup>[8]</sup>

### Mathematical rigour

In spite of its overwhelming success in particle physics and condensed matter physics, QFT itself lacks a formal mathematical foundation. For example, according to <u>Haag's theorem</u>, there does not exist a well-defined <u>interaction picture</u> for QFT, which implies that <u>perturbation theory</u> of QFT, which underlies the entire <u>Feynman</u> diagram method, is fundamentally not rigorous.<sup>[36]</sup>

Since the 1950s,<sup>[37]</sup> theoretical physicists and mathematicians have attempted to organise all QFTs into a set of <u>axioms</u>, in order to establish the existence of concrete models of relativistic QFT in a mathematically rigorous way and to study their properties. This line of study is called <u>constructive quantum field theory</u>, a subfield of mathematical physics,<sup>[38]:2</sup> which has led to such results as CPT theorem, spin-statistics theorem, and Goldstone's theorem.<sup>[37]</sup>

Compared to ordinary QFT, topological quantum field theory and conformal field theory are better supported mathematically – both can be classified in the framework of representations of cobordisms.<sup>[39]</sup>

<u>Algebraic quantum field theory</u> is another approach to the axiomatisation of QFT, in which the fundamental objects are local operators and the algebraic relations between them. Axiomatic systems following this approach include <u>Wightman axioms</u> and <u>Haag-Kastler axioms</u>.<sup>[38]:2-3</sup> One way to construct theories satisfying Wightman axioms is to use <u>Osterwalder-Schrader axioms</u>, which give the necessary and sufficient conditions for a real time theory to be obtained from an <u>imaginary time</u> theory by <u>analytic continuation</u> (Wick rotation).<sup>[38]:10</sup>

Yang-Mills existence and mass gap, one of the Millenium Prize Problems, concerns the well-defined existence of Yang-Mills theories as set out by the above axioms. The full problem statement is as follows.<sup>[40]</sup>

<sup>66</sup> Prove that for any <u>compact simple gauge group</u> G, a non-trivial quantum Yang–Mills theory exists on  $\mathbb{R}^4$  and has a <u>mass gap</u>  $\Delta > 0$ . Existence includes establishing axiomatic properties at least as strong as those cited in <u>Streater & Wightman (1964)</u>, <u>Osterwalder & Schrader (1973)</u> and Osterwalder & Schrader (1975).

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### See also

- Abraham–Lorentz force
- AdS/CFT correspondence
- Introduction to quantum mechanics
- Common integrals in quantum field theory
- Einstein–Maxwell–Dirac equations
- Form factor (quantum field theory)
- Green–Kubo relations
- Green's function (many-body theory)

- List of quantum field theories
- Quantization of a field
- Quantum electrodynamics
- Quantum field theory in curved spacetime
- Quantum flavordynamics
- Quantum hydrodynamics
- Quantum triviality
- Relation between Schrödinger's equation and the path integral formulation of quantum mechanics
- Relationship between string theory and quantum field theory
- Schwinger–Dyson equation
- Static forces and virtual-particle exchange
- Symmetry in quantum mechanics
- Theoretical and experimental justification for the Schrödinger equation
- Ward–Takahashi identity
- Wheeler–Feynman absorber theory
- Wigner's classification
- Wigner's theorem

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